

BUSKOP LAW GROUP, P.C.

IFW

Patents and Trademarks & Related Matters

PARALEGALS GERTRUD W. BARKER SARAH A. GERNHART

TRADEMARK ATTORNEY SUNISHA S. CHOKSI

1717 St. James Place Suite 500 Houston, TX 77056 STAFF DEBORAH A. POWELL KENYETTA L. GREEN BABS D. ROBICHEAUX

EXECUTIVE DIRECTOR RUTH E. GERNHART

Telephone: (713) 403-7411 Fax: (713) 960-0204 WASHINGTON OFFICE PETER A. BORSARI, OF COUNSEL 2001 JEFFERSON DAVIS HIGHWAY SUITE 206 ARLINGTON, VA 22202

www.buskoplaw.com

Writer's Direct Dial: 713.403.7410

July 12, 2004

File: 1001.18

Certificate of 1st Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL, in an envelope addressed to MAIL STOP DD, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450, on the following date: July 12, 2004.

Wendy K.B. Buskop

MAIL STOP DD Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

RE:

U.S. Patent Application Serial No. 10/757,005;

Entitled: "Method for Interchangeably Promoting a Business on a Hat";

Inventors: Russell Earl Morris.

Sirs:

Enclosed for filing in the above-mentioned application is:

- (1) An Information Disclosure Statement;
- (2) A Form PTO-1449 listing references A1-A12;
- (3) A postcard. Please date stamp and return the enclosed postcard to evidence receipt of these materials.

BUSKOP LAW GROUP, P.C.

Information Disclosure Transmittal Application Serial No. 10/757,005

July 12, 2004 Page 2 of 2

No fees are believed to be due in connection with these materials. However, the Commissioner is hereby authorized to any deficiencies to Deposit Account No 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

Wendy K. B. Buskop

Patent Attorney Reg. No. 32,202

Please send correspondence to:

Wendy K. B. Buskop Buskop Law Group PC 1717 St. James Place, Suite 500 Houston, Texas 77056 (713) 403-7411



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Russell Earl Morris

Confirmation No. 3297

Serial No.: 10/757,005

Examiner: Not Assigned

Filed: January 14, 2004

For: Method for Interchangeably

Promoting a Business on a Hat

Atty Dkt No.: 1001.18

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to MAIL STOP DD, Commission of Patents, PO Box 1450, Alexandria, VA 22313-1450, on the following date: <u>July 12, 2004.</u>

MAIL STOP DD

Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

- I. Applicants hereby submit an Information Disclosure Statement and enclose a Form PTO-1449 listing references for consideration by the Examiner. Copies of the References are not enclosed.
- II. Applicants hereby request the Examiner to consider each cited reference. As required under 37 C.F.R. § 1.98(a)(3)(i), the following are concise explanations of the relevance of each reference, as they are presently understood:

Application Serial No. 10/757,005 Information Disclosure Statement

REFERENCE A1 (US 5,422,686 – Kelman): "A detachable eyeglass assembly for a visored headgear includes a clip for removable attachment to the visor. The clip is adopted to extend from one side edge to the other side edge of the visor perpendicular to the wearer's frontal line of vision, and the visor is gripped or frictionally retained at it opposite side edges by the clip. An eyeglass member having a transparent portion connects to the clip by pivot means so as to be able to tilt up out of the user's line of vision. The length of the clips between the ends that grasp the side edges of the visor, is adjustable whereby the distance between the sunglass member and the user's eyes is selectable. The detachable sunglass assembly is useable on a variety of visored headgear."

REFERENCE A2 (US 5,412,812 - Gatchalian): "The present invention relates to a detachable eyeshield attachment (10) For visor caps or the like comprising a clip-type mounting base (12) removably attached at the underside rear portion of a cap visor (15) or hat brim, a pivotable eyeshield holder (13) with an eyeshield (28) fixedly secured thereto, being hingedly clipped by the base (12) such that the holder (13) is capable of being controllably gripped or locked in place by the action of an adjustable actuating means (14) for flexedly tightening or loosening both arms (16) and (17) of the base."

REFERENCE A3 (US 5,208,916 – Kelman): "A sunglass assembly for attachment to a headgear includes mounting means for removable attachment to the front of the headgear at a visor, brim or the like. A pair of parallel rail means attach to the mounting means and extend in the direction of the wearer's frontal line of vision. A sunglass member has a tinted transparent portion for viewing therethrough, is connected to slides which frictionally and slidingly engage the rail means. The sunglass member is pivotably attached to the slides and is able to translate along the rails toward and away from the user and to tilt up out of the user's line of vision."

REFERENCE A4 (US 5,129,102 – Solo): "A cap provided with removal flip up and down glasses including a mounting member for positioning the flip up and down glasses on an outwardly extending visor of the cap. Attachment members pivotally secure a pair of lenses of the flip up and down glasses to the mounting member so that the glasses can be flipped up to a

Application Serial No. 10/757,005 Information Disclosure Statement

first position adjacent to the visor and also flipped down to a second position so that the lenses are disposed at a proper eye level for a person wearing the cap. Preferably, the lenses are removable from the attachment members. Separable fasteners are disposed between the visor and the mounting member for removably securing the visor and the mounting member together. One portion of the fasteners is secured on an underside of the visor and another mating portion of the fasteners is secured to an upper surface of the mounting member. Preferably, the mounting member is tapered so that the front edge is thicker than a rear edge thereof, where the mounting member can be fabricated from a rigid or flexible plastic material. In a modified form, a transversely disposed hinge is provided between adjacent parts of the mounting member to permit the parts to pivot relative to each other when opposite sides of the visor are folded towards each other."

REFERENCE A5 (US 4,819,274 – Day): "A cap including a detachable eye shield adjustably mounted on a mounting block which is removably engaged in a dovetail groove formed on the central portion of the under side of the visor member of the cap. A shaft member disposed on the upper frame of the eye shield is pivotally snap-engaged in the holder portion of the mounting block whereby the eye shield can be raised up and down relative to the visor member. By pushing the mounting block back and forth along the dovetail groove the eye shield can be moved to adjust the distance relative to the eyes."

REFERENCE A6 (US 4,541,125 – Philips): "An eyeglasses apparatus for attachment to a cap visor; the apparatus comprises two main parts, including a clip part which is selectively removably attachable to a cap visor and a main eyeglasses part which is hingedly engageable with a member of the clip part. The main eyeglasses part is integrally molded in a unitary construction, and preferably comprises either sunglasses or safety glasses. When the apparatus is attached to a cap visor, the main eyeglasses part can be readily pivotably moved between an operative position wherein the user's eyes are shielded and an inoperative position wherein the main eyeglasses part is disposed adjacent the underside of the cap visor."

Application Serial No. 10/757,005 Information Disclosure Statement

REFERENCE A7 (US 4,304,005 – Danley, Sr.): "A device for attaching a variety of various eye shields or spectacles, safety shields or the like to a cap which allows for variable adjustment in the location of the spectacles with respect to the cap, removeable attachment, and two position up-down positioning of the eye shield comprising one or more wire frames which are attached by upper and lower velcro strips to a cap visor, the frame having a pair of clips which attach the eye shield or spectacle to the frame. Each frame is formed from a light weight unitary wire that allows for frictionally holding the eye shield in an up or down position relative to the wearer and wearer's vision. The base of the frame is removeably attached to the cap by a fabric fastener such as velcro which is attached in layers to the lower side of the visor and by an additional strip that goes over the base of the frame. A variety of eye shields, lenses, sunglasses, or the like can be readily detached or interchanged with each frame or frames."

REFERENCE A8 (US 2,691,164 – Feldman): "This invention relates generally to the combination of headwear with eyeshields attached thereto."

REFERENCE A9 (US 2,560,669 – Vaca): "This invention relates to an eyeshield and it has to do more particularly with an attachment for a cap or the like in the form of an eyeshield which can be easily and quickly manipulated to its operative and inoperative positions."

REFERENCE A10 (US 2,519,959 – Fisher): "My invention relates to eye shields of the kind adapted for use in shielding the eyes from the brilliant rays of the sun and other high power lights and has for its object to produce an eye shield that may be produced to clip to a brim of a hat or clipped to a peak of a cap and to be easily removable so as to be carried in the pocket when not in use."

REFERENCE A11 (US 1,514,111 – Sutton): "This invention relates to eye protectors such as workmen and other use, and has as one of its objects to provide an eye protector that may be placed at any angle before the eyes, thus being of great value where flying particles may endanger the eyes."

Application Serial No. 10/757,005 Information Disclosure Statement

REFERENCE A12 (US 1,228,341 – Maynard): "The present invention relates to an improvement in eye shields and has for an object the provision of a device of this nature, which is readily attachable to hats and caps of various forms, and which, when applied to a hat or cap, may be quickly and easily adjusted into any one of three positions according to the demands required; and which may be detached and folded into compact form to fit in the pocket or other confined place."

III. No fees are believed to be due in connection with these materials. This Information Disclosure Statement is being filed prior to receipt of an official Office Action.

Date: <u>July 12, 2004</u>

Wendy K. B. Buskop

Patent Attorney Reg. No. 32,202

Please send correspondence to:

Wendy K. B. Buskop Buskop Law Group PC 1717 St. James Place, Suite 500 Houston, Texas 77056 (713) 403-7411

Application Serial No. 10/757,005 Information Disclosure Statement

| <u> </u> | | | | | Sheet 1 of 1 | | | | | |
|--------------------|---|---|--------------|------------------------|---|------------------------|------------------------------|----------------------------|----------------------|--|
| Form PTO 14 | U.S. Department of Commerce Patent and Trademark Office | | | Serial No.: 10/757,005 | | | Confirmation No. 3297 | | | |
| INFORMA | | OSURE CITAT | | Filing | g Date: Janua | ry 14, 2 | 004 | | | |
| | | | | | Applicant(s): Russell Earl Morris | | | | | |
| | | | | Atty. | Docket No.: | 1001.18 | } | | | |
| | | A REFERE | NCE - | U.S. P. | ATENT DOC | UMEN | TS | | | |
| Document Number | Examiner Initial* | Patent Number | Date | | Name | Class | Sub Class | Filing Date If Appropriate | | |
| A1 | | 5,422,686 | 06/06/95 | | Kelman | 351 | 155 | 05/10/93 | | |
| A2 | | 5,412,812 | 05/09/95 | | Gatchalian | 2 | 10 | 10 11/23/93 | | |
| A3 | 5,208,916 | | 05/11/93 | | Kelman | 2 | 10 08/17/92 | | 92 | |
| A4 | | 5,129,102 | 02 07/14/92 | | Solo | 2 | 10 | 07/22/91 | | |
| A5 | | 4,819,274 | 04/01/89 | | Day | 2 | 10 | 12/14/87 | | |
| A6 | | 4,541,125 | 09/17/85 | | Phillips | 2 | 10 | 08/19/83 | | |
| A7 | | 4,304,005 | 12/08/81 | | Danley | 2 | 10 | 06/26/80 | | |
| A8 | | 2,691,164 | 10/12/54 | | Feldman | 2 | 10 | 12/04/50 | | |
| A9 | | 2,560,669 | 07/17/51 | | Vaca | 2 | 10 | 02/12/48 | | |
| A10 | | 2,519.959 | 08/22/50 | | Fisher | 2 | 10 | 04/13/48 | | |
| A11 | | 1,514,111 | 11 11/04/2 | | Sutton | 2 | 10 01/20/23 | | /23 | |
| A12 | | 1,228,341 05/29 | | /17 | Maynard | 2 | 10 | 02/09/16 | | |
| B REFERE | NCE - FOR | EIGN PATE | NT DC | CUM | ENTS | | | | · | |
| Document Number | Examiner Initial* | Patent Number | Date | | Country | Class | Sub Class | Translation | | |
| | | | | | | | | Yes | No | |
| B1 | | | | | | | | | | |
| C REFERE | NCE - OTH | ER DOCUN | IENTS | (Inclu | ding Author, | Title, D | ate, Pages | , Etc.) | | |
| Document Number | Examiner Initial* | Other Documents Citation | | | | | | | | |
| C1 | | | | | | | | | | |
| Examiner: | | | Date | Consi | dered: | | | | | |
| *Examiner: | line throu | eference considers of the citation if no cation to Application to | ot in conf | ether or | r not citation is i e and not consid | n confori lered. In | nance with M clude copy o | MPEP 60 f this for | 9; draw m with ne | |